



IFW

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of: **Shunji SUZUKI et al.**

Group Art Unit:

Application Number: **10/593,624**

Examiner:

Filed: **September 21, 2006**

Confirmation Number:

For: **R-Fe-B BASED THIN FILM MAGNET AND METHOD FOR
PREPARATION THEREOF**

Attorney Docket Number: **062926**

Customer Number: **38834**

INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. §1.97(b)

Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

November 15, 2006

Sir:

In compliance with 37 C.F.R. §1.56, Applicants direct the attention of the Patent and Trademark Office to the documents listed on the attached PTO/SB/08. This paper is being filed within the time periods set forth in 37 C.F.R. §1.97(b). A copy of each non-U.S. document is enclosed herewith.

If there are any fees due in connection with the filing of this paper, please charge Deposit Account No. 50-2866.

Respectfully submitted,

WESTERMAN, HATTORI, DANIELS & ADRIAN, LLP

Sadao Kinashi

Attorney for Applicants

Registration No. 48,075

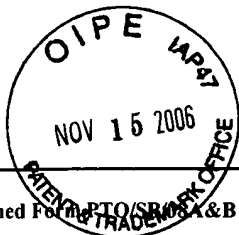
Telephone: (202) 822-1100

Facsimile: (202) 822-1111

SK/mra

Enclosures: PTO/SB/08
14 Documents

2



Combined Form PTO/SB-08 A&B

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Complete if Known

Application Number	10/593,624
Confirmation Number	
Filing Date	September 21, 2006
First Named Inventor	Shunji SUZUKI et al.
Art Unit	
Examiner Name	
Attorney Docket Number	062926

Sheet

1

of

1

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Number	Kind Code ² (if known)		
		US			
		US			
		US			
		US			
		US			
		US			

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Translation ⁶
		Country Code ³	Number ⁴	Kind Code ⁵ (if known)			
	1	JP	2002-164238	A	06-07-2002	Hitachi Metals, Ltd.	Yes (JPO)
	2	JP	07-283016	A	10-27-1995	TDK Corp.	Yes (JPO)
	3	JP	07-201623	A	08-04-1995	TDK Corp.	Yes (JPO)
	4	JP	2003-158006	A	05-30-2003	Shin-Etsu Chemical Co., Ltd.	Yes (JPO)
	5	JP	2003-64454	A	03-05-2003	Shin-Etsu Chemical Co., Ltd.	Yes (JPO)
	6	JP	09-45567	A	02-14-1997	Hitachi Metals, Ltd.	Yes (JPO)
	7	JP	07-272929	A	10-20-1995	Kobe Steel, Ltd.	Yes (JPO)
	8	JP	11-273920	A	10-08-1999	Sumitomo Special Metals Co., Ltd.	Yes (JPO)
	9	JP	2004-120892	A	04-15-2004	Hitachi Ltd	Yes (JPO)
	10	JP	08-83713	A	03-26-1996	Mitsubishi Electric Corp	Yes (JPO)
	11	JP	11-288812	A	10-19-1999	Sumitomo Special Metals Co., Ltd.	Yes (JPO)
	12	JP	2001-217124	A	08-10-2001	Sumitomo Special Metals Co., Ltd.	Yes (JPO)
	13	JP	2001-274016	A	10-05-2001	Mitsubishi Electric Corp	Yes (JPO)

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation ⁶
	14	T. OKUDA, "Synthesis of Nd-Fe-B Thin-Film-Magnet Material with Perpendicular Magnetic Anisotropy by Heat Treatment", Nagoya Institute of Technology, November 10, 2003, pages 1007-1012, vol. 27.	

Examiner Signature

Date Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or in the comment box of this document. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to indicate here if English language Translation is attached.